

4

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.
035820-0006CONIn Re Application Of: **Brilando**

03/04/02

Serial No.
09/975,120Filing Date
October 9, 2001Examiner
S. WasylchakGroup Art Unit
2165Title: **Method for Managing the Level and Reducing the Volatility of a Company's Share Price**

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

Received
DEC 05 2001
Technology Center 2100

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2165

Method for Managing the Level and Reducing the Volatility of a Company's Share Price

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **19:2385** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**


Signature

Dated: **December 4, 2001**

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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. 035820-0006CON	SERIAL NO. 09/975,120
	FILING Oct. 9, 2001	GROUP 2165

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,799,156	1/1989	Shavit, et al.	364	401	10/01/1986
	4,910,676	3/1990	Alldredge	364	408	3/30/1987
	5,446,885	8/1995	Moore, et al.	395	600	5/15/1992
	5,493,489	2/1996	Tamaki, et al.	364	401	4/16/1991
	5,615,109	3/1997	Eder	395	208	5/24/1995
	5,649,116	7/1997	McCoy, et al.	395	238	3/30/1995
	5,732,397	3/1998	Detore, et al.	705	1	3/16/1992
	5,745,706	4/1998	Wolfberg, et al.	395	235	12/30/1994
	5,764,543	6/1998	Kennedy	364	578	6/16/1995
	5,812,988	9/1998	Sandretto	705	36	4/6/1995
	5,930,762	7/1999	Masch	705	7	9/24/1996

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
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			Abdullah & Hayworth, Macroeconomics of Stock Price Fluctuations, Quarterly Journal of Business and Economics, Vol. 32, n1, p. 50, Winter 1993

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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				FILING Oct. 9, 2001		GROUP 2165			
U.S. PATENT DOCUMENTS									
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
		6,006,192	12/1999	Cheng et al.	705	7	3/12/1997		
		6,032,123	2/2000	Jameson	705	8	4/29/1998		
		6,044,354	3/2000	Asplen, Jr.	705	7	12/19/1996		
FOREIGN PATENT DOCUMENTS									
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)									
EXAMINER				DATE CONSIDERED					

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